

**Work Programme – Audit and Governance Committee – March 2023 to May 2024**

Reference	Committee Date	Report title	Purpose of Report	Report Author /Senior Officer	Consultation and Engagement Process and Timeline	Equality Impact Assessment Required and Published (Y/N)	Part of Budget and Policy Framework (Y/N)	Corporate Plan Priority	Exempt Item and Paragraph Number
AG/17/21-22	9 Mar 2023	Audit Completion Report 2021/22	To receive the Audit Completion Report from the external auditors for 2021/22 year of accounts and consider their findings.	Director of Finance and Customer Services (s151 Officer)		No	Yes	Open	N/A
AG/09/22-23	9 Mar 2023	Annual Governance Statement 2021/22	To receive and approve the proposed final Annual Governance Statement 2021/22.	Director of Finance and Customer Services (s151 Officer)		No	Yes	Open	N/A
AG/45/21-22	9 Mar 2023	Certification of Claims and Returns 2021/22	To review the Certification of Claims and Returns 2021/22.	Director of Finance and Customer Services (s151 Officer)		No	Yes	Open	N/A
AG/22/21-22	9 Mar 2023	Risk Management Update	To update the Committee on Risk Management and Business Continuity activity in the Council.	Director of Governance and Compliance (Monitoring Officer)		No	No	Open	N/A
AG/03/22-23	9 Mar 2023	Six Monthly Complaints Report (July - Dec 2022)	To provide an update on complaints and customer contact for the period July to December 2022 and to provide assurance that actions arising from complaints and improvements identified are actioned.	Director of Finance and Customer Services (s151 Officer), Director of Governance and Compliance (Monitoring Officer)		No	No	Open	N/A
EG/05/22-23	9 Mar 2023	Public Interest Report	To discuss with the current external auditors whether there are any matters arising from the Public Interest Report that should be addressed by statutory external audit process.	Chief Executive/ Director of Governance and Compliance (Monitoring Officer)		No	No	Open	N/A

Reference	Committee Date	Report title	Purpose of Report	Report Author /Senior Officer	Consultation and Engagement Process and Timeline	Equality Impact Assessment Required and Published (Y/N)	Part of Budget and Policy Framework (Y/N)	Corporate Plan Priority	Exempt Item and Paragraph Number
AG/06/22-23	9 Mar 2023	Inspection by Investigatory Powers Commissioners' Office (IPCO)	To receive the outcome of a remote inspection by the Investigatory Powers Commissioner's Office in relation to the Council's use of its powers under Part II of the Regulation of Investigatory Powers Act 2000.	Director of Governance and Compliance (Monitoring Officer)		No	No	Open	N/A
AG/07/22-23	9 Mar 2023	CIPFA Review of the Audit and Governance Committee	To receive a verbal update on the outcome and recommendations following the review of the Audit and Governance Committee against the 2022 CIPFA Guidance on Audit Committees.	Director of Governance and Compliance (Monitoring Officer)		No	No	Open	N/A
AG/11/22-23	9 Mar 2023	Internal Audit Plan 2022/23 Update Report	To receive the final update report on progress against the 2022/23 Internal Audit Plan.	Director of Governance and Compliance (Monitoring Officer)		No	No	Open	N/A
AG/10/22-23	9 Mar 2023	Internal Audit Plan 2023/24	To receive the Internal Audit Plan for 2023/24 for consideration and approval ahead of the commencement of the 2023/24 year.	Director of Governance and Compliance (Monitoring Officer)		No	No	Open	N/A
AG/24/21-22	9 Mar 2023	Work Programme	To consider the Committees Work Programme.	Executive Director Corporate Services		No	No	Open	N/A
AG/25/21-22	9 Mar 2023	Compliance with Contract Procedure Rules	To update the Committee on Waivers and Non-Adherences	Director of Finance and Customer Services (s151 Officer)		No	Yes	Open	No
AG/26/21-22	9 Mar 2023	Compliance with Contract Procedure Rules (WARNs)	To consider the on Waivers and Non-Adherences (WARNs):	Director of Finance and Customer Services (s151 Officer)		No	Yes	Open	Yes Para 3
AG/02/23-24	May 2023	Land Transactions - Internal Audit Review	To receive the findings and actions arising from the review of Land Transactions being undertaken by Internal Audit.	Director of Governance and Compliance (Monitoring Officer)		No	No	Open	N/A

Reference	Committee Date	Report title	Purpose of Report	Report Author /Senior Officer	Consultation and Engagement Process and Timeline	Equality Impact Assessment Required and Published (Y/N)	Part of Budget and Policy Framework (Y/N)	Corporate Plan Priority	Exempt Item and Paragraph Number
AG/01/23-24	May 2023	CIPFA Review of the Audit and Governance Committee - Outcomes and Recommendations	To receive the outcome and recommendations following the review of the Audit and Governance Committee against the 2022 CIPFA Guidance on Audit Committees.	Director of Governance and Compliance (Monitoring Officer)		No	No	Open	N/A
AG/04/22-23	July 2023	Annual Complaints Report	To provide an update on Complaints and customer contact for period 1 April 2022 to 31 March 2023 and to provide assurances that actions arising from complaints and improvements identified are actioned.	Director of Finance and Customer Services (s151 Officer), Director of Governance and Compliance (Monitoring Officer)		No	No	Open	N/A
AG/15/21-22	July 2023	Whistleblowing Policy	To consider the Whistleblowing Policy and recommend to Council for approval.	Director of Governance and Compliance (Monitoring Officer)		No	No	Open	N/A
AG/28/21-22	July 2023	Draft Conflict of Interest Policy	To consider the Draft Conflict of Interest Policy following the adoption of the Code of Conduct.	Director of Governance and Compliance (Monitoring Officer)		No	No	Open	N/A
AG/02/22-23	Nov 2023	Customer Experience Evaluation Report (Consultation and Engagement)	As requested by the Committee, to receive an evaluation report outlining the implementation progress of the last 12-18 months on the new approach to consultation and engagement (July 2022) the draft Action Plan and the outcomes of the work, including the toolkit and programme of activities.	Director of Policy and Change		No	No	Open	N/A
AG/03/23-24	Nov 2023	Six Monthly Complaints Report (April 2023 to September 2023)	To provide an update on complaints and customer contact for the period April – September 2023 and to provide assurance that actions arising from complaints and improvements identified are actioned.	Director of Governance and Compliance (Monitoring Officer), Director of Finance and Customer Services (s151 Officer)		No	No	Open	N/A

Reference	Committee Date	Report title	Purpose of Report	Report Author /Senior Officer	Consultation and Engagement Process and Timeline	Equality Impact Assessment Required and Published (Y/N)	Part of Budget and Policy Framework (Y/N)	Corporate Plan Priority	Exempt Item and Paragraph Number
AG/16/21-22	Within 3 months of ACR rating being received	Value For Money Report from External Auditors	To receive the Value for Money report from the External Auditors in relation to the Statement of Accounts.	Director of Finance and Customer Services (s151 Officer)		No	Yes	Open	N/A